

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 3411650  |
| <b>Application Number:</b>                  | 09945184   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 4257   |
| <b>Title of Invention:</b>                  | STOCK TRADING APPLICATION PROGRAM  |
| <b>First Named Inventor/Applicant Name:</b> | Timothy Orr Knight   |
| <b>Correspondence Address:</b>              | Brake Hughes PLC<br>c/o PortfolioIP<br>P.O. Box 52050<br>-<br>Minneapolis MN 55402<br>US 703.286.5735<br>- |
| <b>Filer:</b>                               | Margo Livesay/Brenda McCalister  |
| <b>Filer Authorized By:</b>                 | Margo Livesay  |
| <b>Attorney Docket Number:</b>              | 0006-003002  |
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| <b>Application Type:</b>                    | Utility under 35 USC 111(a)  |

### Payment information:

|  |             |
|--|-------------|
| Submitted with Payment                   | yes         |
| Payment Type                             | Credit Card |
| Payment was successfully received in RAM | \$ 810      |

|                              |  |                       |   |                  |                  |
|------------------------------|--|-----------------------|---|------------------|------------------|
| RAM confirmation Number      |  | 3018                  |   |                  |                  |
| Deposit Account              |  |                       |   |                  |                  |
| Authorized User              |  |                       |   |                  |                  |
| File Listing:                |  |                       |   |                  |                  |
| Document Number              | Document Description                         | File Name             | File Size(Bytes) /Message Digest          | Multi Part /.zip | Pages (if appl.) |
| 1                            | Request for Continued Examination (RCE)      | 0006-003002_RCE.pdf   | 697228                                    | no               | 3                |
|                              |  |                       | 26dfe172c4734b28117625702f5d9ba82cbb411b  |                  |                  |
| Warnings:                    |  |                       |   |                  |                  |
| Information:                 |  |                       |   |                  |                  |
| 2                            | Information Disclosure Statement (IDS) Filed | 0006-003002_IDS.pdf   | 723162                                    | no               | 4                |
|                              |  |                       | a1296465c815b5ac9e1b9722dd15fc8343c690d3  |                  |                  |
| Warnings:                    |  |                       |   |                  |                  |
| Information:                 |  |                       |   |                  |                  |
| 3                            | Information Disclosure Statement Letter      | SIDSCommunication.pdf | 100396                                    | no               | 2                |
|                              |  |                       | 42df21d4e9424289cafe5e90b5eaff9c599dfeab3 |                  |                  |
| Warnings:                    |  |                       |   |                  |                  |
| Information:                 |  |                       |   |                  |                  |
| 4                            | NPL Documents                                | NPL01.pdf             | 384978                                    | no               | 7                |
|                              |  |                       | a97a91de36bafabada28b26445da8a1125185b25  |                  |                  |
| Warnings:                    |  |                       |   |                  |                  |
| Information:                 |  |                       |   |                  |                  |
| 5                            | Fee Worksheet (PTO-06)                       | fee-info.pdf          | 8172                                      | no               | 2                |
|                              |  |                       | dbaec0371436629c9113c6d1df2ee30fb929c90   |                  |                  |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.